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# Evolutionary Patterns of Retinal-Binding Pockets of Type I Rhodopsins and Their Functions<sup>†</sup>

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### ABSTRACT

Genome sequencing projects resulted in the identification of a large number of new sequence homologs of archaeal rhodopsins in marine bacteria, fungi, and unicellular algae. It is an important task to unambiguously predict the functions of these new rhodopsins, as it is difficult to perform individual experiments on every newly discovered sequence. The transmembrane segments of rhodopsins have similar three-dimensional structures where the seven transmembrane helices form a tightly packed scaffold to accommodate a covalently bound retinal. We use geometric computations to accurately define the retinal-binding pockets in high-resolution structures of rhodopsins and to extract residues forming the wall of the retinal-binding pocket. We then obtain a tree defining the functional relationship of rhodopsins based on the short sequences of residues forming the wall of the retinal-binding pocket concatenated from the primary sequence, and show that these sequence fragments are often sufficient to discriminate phototactic vs transporting function of the bacterial and unicellular algal rhodopsins. We further study the evolutionary history of retinal-binding pockets by estimating the pocket residue substitution rates using a Bayesian Monte Carlo method. Our findings indicate that every functional class of rhodopsins has a specific allowed set of fast-rate amino acid substitutions in the retinal-binding pocket that may contribute to spectral tuning or photocycle modulation. The substitution rates of the amino acid residues in a putative retinal-binding pocket of marine proteorhodopsins together with the clustering of pocket sequences indicate that green-absorbing and blue-absorbing proteorhodopsins have similar function. Our results demonstrate that the evolutionary patterns of the retinal-binding pockets reflect the functional specificity of the rhodopsins. The approach we describe in this paper may be useful for large-scale functional prediction of rhodopsins.

#### INTRODUCTION

Rhodopsins are membrane receptors composed of seven transmembrane (TM) helices. There are two types of rhodopsins presently known: type I rhodopsins that were discovered in halophile archaea (including bacteriorhodopsin [BR], halorhodopsin [HR] and sensory rhodopsins I and II [SRI, SRII]) and type II rhodopsins, which are the animal visual photoreceptors (1). They are activated by light exposure, which results in the isomerization of the retinal that is covalently bound through Schiff base (2,3) to a lysine residue located on the seventh TM helix (G). The respective rhodopsin then changes its conformation and either transports the ions or transmits a signal to a membrane-bound or a cytosolic transducer. The two types of rhodopsins show no significant sequence similarity and may have different origins (4,5). We restrict this study to the type I rhodopsins.

Three-dimensional structures of several type I rhodopsins (BR, HR, SRII and anabaena sensory rhodopsin [ASR]) are currently available (see Table 1). Despite the low sequence identity of type I rhodopsins, there is a remarkable similarity in the architecture of the TM region, especially between helices C-G, which form a retinal-binding pocket. Structural comparison of TM helices C-G of archaeal type I rhodopsins shows that these helices have the highest structural similarity with the pairwise root mean square deviation (RMSD) of the main chain atoms of less than 0.9 Å (6).

In this study, we report the results of analysis of the rhodopsin retinal-binding pockets. We build a maximum likelihood relationship tree of short sequences obtained after concatenating all residues on the wall of the retinal-binding pocket and show that these pocket sequences are often sufficient to discriminate a phototactic or transporting function of the rhodopsin. We also estimate substitution rates of amino acid residues forming a retinal-binding pocket in BR, SRI, SRII and proteorhodopsins (PR) using a Bayesian Monte Carlo approach (7). Our findings indicate that every functional class of rhodopsins has its specific set of fast-rate amino acid substitutions allowed in the retinal-binding pocket. These fast-rate substitutions do not affect the function of the given rhodopsin, but may be important for the rhodopsin spectral tuning or for the photocycle modulation.

### MATERIALS AND METHODS

Sequences and protein structures. We searched the protein sequence databases using BLAST and PSI BLAST (8) from the National Center for Biotechnology Information (NCBI) Web site (http://www.ncbi.nlm.nih. gov/). The outputs of BLAST searches were used to build multiple

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<sup>&</sup>lt;sup>†</sup>This paper is dedicated to Professor Thomas Ebrey on the occasion of his retirement from the University of Washington.

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PDB	Area	Volume	Resolution	POC*	Remarks	Pocket Sequence
Bacteriorhodo	psin					
1M0L	430	506	1.5	21	Ground state	YWTLMIGGWWSTMWYPWFAK
1C3W	397	467	1.6	21	Ground state	YWTLMIGWSTMWYPWFAK
1KGB	442	526	1.7	24	Ground state	YWTLMIGGWWSTMWYPWFAK
1F50	384	450	1.7	20	E204Q mutant	YWTLMIGWSTMWYPWFAK
1 MOK	436	516	1.4	23	K intermediate	YWTLMIGGWWSTMWYPWFAK
1 <b>M</b> 0M	440	523	1.4	21	M1 intermediate	YWTLMIGGWSTMWYPWFAK
1P8H	441	533	1.5	24	M1 intermediate	YWTLMIGGWSTMWYPWFAK
100A	430	501	1.6	18	I intermediate	YWTLMIGGWSTMWYPWFAK
1P8U	474	528	1.6	13	n' intermediate	YWTLMIGGWSTMWYPWFAK
1QKO	430	513	2.1	25	Early intermediate	YWTLMIGGWWSTMWYPWFAK
Archaerhodop	sin-1					
1UAZ	454	530	3.4	53		YWTTLMIGWSTMWYPWFDAK
Archaerhodop	sin-2					
1VGO	438	530	2.5	63		YWTTLMIGWSTFWYPWFDAK
Halorhodopsi	n					
1E12	496	564	1.8	29		YWTIMCGAYSCFWYPWYAK
Sensory Rhod	lopsin II					
1H2S	609	630	1.9	35	With transducer	RYWTIVMAGFGAFLWYPIWPDIDTK
1H68	582	640	2.1	21	Ground state	RYWITIVMAGFGAFWYPWPDIDTK
1GU8	582	640	2.3	21	Ground state	RYWTIVMAGFGAFLWAYPFWPDIDTK
1GUE	619	702	2.3	23	K-state	RYWITIVMAGFGAFWYPIWPDIDTK
Anabaena Ser	nsory Rhodop	sin				
1XIO	476	549	2.0	19	Cis-retinal	YWTLQVIGAWYGVFWYPWFSK

Table 1. Retinal-binding pocket areas  $(Å^2)$  and volumes  $(Å^3)$  as obtained from CASTp database (http://sts.bioengr.uic.edu/castp) and retinal-binding pocket sequences from high-resolution structures of type I rhodopsins

\*Pocket number as obtained from CASTp database.

sequence alignments (MSA) with ClustalW (9), which were manually adjusted using a multiple sequence editor Pfaat (10). Sequences used in this study are listed in Table 2.

PR sequences often come from the environmental samples or from uncultured bacteria, without any names assigned. We use bacterial strain names if those are available, *e.g.* PR\_MedeBAC35C06 is used for a PR with accession number AAY82613. To track PR sequences obtained from the nameless strains, we name those by the residue type that occupies a position equivalent to Leu105 in green-absorbing proteorhodopsin (GPR) (11). If there are more than one PR with the same residue type at this position, a respective number is added to the residue type, *e.g.* PR\_M7 indicates that this is the seventh PR with Met residue at the position equivalent to Leu105 in GPR. Supplemental Table 1 (See Supplemental Materials) contains a list of PR sequences obtained from the uncultured bacteria.

All rhodopsin structures were obtained from the Protein Data Bank. We chose high-resolution X-ray structures with resolution 2.5 Å or better if there was more than one structure for a given rhodopsin available.

Estimation of amino acid residue substitution rates in the retinal-binding pocket. We used a continuous-time Markov model to estimate substitution rates of amino acid residues forming a retinal-binding pocket of rhodopsins based on known three-dimensional structures. We aimed to estimate the values of the instantaneous substitution rate Q matrix. The continuous-time Markov model for residue substitutions has been implemented in several studies using maximum likelihood estimation, and has also been applied in a protein-folding study (12). Here, we adopt a Bayesian approach where we describe the instantaneous substitution rate  $Q = \{q_{ij}\}$  by a posterior distribution  $\pi(Q|S,T)$ , which summarizes prior information available on the rates  $Q = \{q_{ij}\}$  and the information contained in the observations sequences S and an optimal tree topology T. After integrating the prior information and the likelihood function, the posterior distribution  $\pi(Q|S,T)$  can be estimated up to a constant and later, the posterior means  $E_{\pi} = \int Q \pi(Q|S,T) dQ$  of rates in  $\pi(Q)$  could be estimated in the next step.

We then ran a Markov chain to generate samples drawn from the target distribution  $\pi(Q|S,T)$ . Starting from a rate matrix  $Q_t$  at time t, we generate a new rate matrix  $Q_{t+1}$  using the proposal function  $T(Q_t,Q_{t+1})$ . The proposed new matrix  $Q_{t+1}$  will be either accepted or rejected, depending on the outcome of an acceptance rule  $r(Q_t,Q_{t+1})$ . This is achieved by using the Metropolis-Hastings acceptance ratio  $r(Q_t,Q_{t+1})$  to

either accept or reject  $Q_{t+1}$ , depending on whether the following inequality holds:

$$u \leq r(Q_{t}, Q_{t+1}) = \min\left\{1, \frac{\pi(Q_{t+1}|S, T) \cdot T(Q_{t+1}, Q_{t})}{\pi(Q_{t}|S, T) \cdot T(Q_{t}, Q_{t+1})}\right\}$$

where u is a random number drawn from the uniform distribution U[0,1]. With the assumption that the underlying Markov process is ergodic, irreducible and aperiodic, a Markov chain generated following these rules will reach the stationary state. Details of our method can be found in Tseng and Liang (7). This method is used to obtain amino acid substitution matrices for residues in retinal-binding pockets for all type I rhodopsins, as well as for subsets of BRs, SRIs, SRIs and PRs.

### **RESULTS AND DISCUSSION**

### Retinal-binding pockets in the structures of type I rhodopsins

High-resolution X-ray structures are available for several functional classes of type I rhodopsins. These are BR and HR from *Halobacterium salinarum*, archaerhodopsin-1 and archaerhodopsin-2 from *Halobacterium* sp., SRII from *Natronomonas pharaonis* and ASR from *Anabaena* sp. We have calculated the size and amino acid composition of the retinal-binding pockets in type I rhodopsin structures using the CASTp server http://sts.bioengr.uic. edu/castp/ (13). CASTp uses the weighted Delaunay triangulation and the alpha complex for shape measurements (14–16), which allow for the accurate determination of the atoms forming the wall of the pocket or void in the protein structure without a need for a cutoff (17). Figure 1(a) shows a structure of SRII (PDB: 1H2S) where atoms forming a retinal-binding pocket as determined by CASTp are shown in spacefill. Figure 1(b) shows the full sequence of SRII with the positions of the residues forming the retinal-

 Table 2.
 Type I rhodopsin sequences used in this study

Accession number

XP\_756518 XP\_758776 XP\_760272

CF259014 AAM44041 AAM15777 AAQ16277 ABA08439 ABA08437 ABA08438 AF508258

ZP\_01049273 ZP\_00537900 EAM73404 ZP\_01117885 ZP\_01253360 ZP\_01255583

ZP\_00600660 YP\_446609 YP\_446677 YP\_445623 YP\_446872 ZP\_01054176

BAB74864 BAC88139

AAZ21446 AAS73014 AAT38609 AAG10475 AAR05342 Q9AFF7 ZP\_01161099 ZP\_01236264

AAY87315

AAY82613

AAO21449 AAO73911 AAO21450 AAO73919 AAO73935 AAT38637 AAT38637 AAT38637 AAT38637 AAT39128 AAT09901 AAY68058 AAZ40531 AAO21455

Species	Abbreviation	Accession number	Species	Abbreviation
Archaea			Ustilago maydis 521	U_mayd_UM00371
Bacteriorhodopsins			Ustilago maydis 521	U_mayd_UM02629
Haloarcula argentinensis	Harc_argent_BR	Q57101	Ustilago mayals 521	U_mayd_UM04125
Haloarcula japonica	Harc_jap_BR	BAA81816	Algae	
Haloarcula marismortui	Harc_maris_BR	YP_137573	Acetabularia acetabulum	Acet_ace_R
Haloarcula marismortui	Harc_maris_BR2	YP_136594	Chlamydomonas reinhardtii	CSRA
Haloarcula sp. AB19 Haloarcula sp. ABG-2	Harc_ABI9_DK	ABC/2081 AAB32051	Chiamydomonas reinhardtii Chiamydomonas reinhardtii	CSKB Charain CUL 5
Haloarcula sp. YW016	Harc YW016 BR	AAD32931 AAZ76910	Cryptomonas sp. S2	Cryptom S2 O
Haloarcula vallismortis	Harc val CR3	P94854	Guillardia theta	Guill theta O1
Halobacterium salinarum	Hbac_sal_BR	AAG42454	Guillardia theta	Guill_theta_O2
Halobacterium salinarum			Pyrocystis lunula	Plun_R
(Mex)	Hbac_sal_Mex_BR	P33969	Bacteria	
(Port)	Ubac cal Port BD	D22071	Cellulophaea sp. MED134	Cell BR
(1011) Halobacterium salinarum	HUAC_SAI_FUIL_DK	P339/1	Exiguobacterium sibiricum	con_bro
(Shark)	Hbac sal Shark BR	P33972	255-15	Exi_sib_R
Halobacterium, SG1 AR1	Hbac_SG1_AR1	AAB26387	Kineococcus radiotolerans	Kin_rad_R
Halobacterium sp. arg-4	Hbac_sal_arg4_BR	O93740	Polaribacter irgensii 23-P	Polar_irgen_BR
Halobacterium sp. AUS-2	HspAUS2_BR	AAB19870	Psychroflexus torques	Psy_tor_BRa
Halobiforma lacisalsi	Hbif_lac_BR	AAU04564	P sychropiexus torques Rubrobactar vylanophilus	Psy_tor_BRb
Halorubrum sodomense	Hrub_sod_AK3	P96787	DSM	Rubr xvl R
Haloruhrum xiniianoense	Hrub xini BR	AAZ/0911 AA\$15567	Salinibacter ruber DSM	Rubi_Ayi_R
Natrinema ajinwuensis	Natr aiin BR	AAS15507 AAS87573	13855	Sal_rub_SRIa
Natrinema altunense	Natr_altrun_BR	AAP36746	Salinibacter ruber DSM	
Natronococcus aibiensis	Natr_aib_BR	AAP36744	13855	Sal_rub_SRIb
Halorhodopsins			Salinibacter ruber DSM	0.1.1.170
Haloarcula marismortui			13033 Salinihastar nuhar DSM	Sal_rub_XR
ATCC 43049	Harc mar HR	YP 136278	13855	Sal rub HR
Haloarcula vallismortis	Harc val HR	P94853	Tenacibaculum sp.	Sal_Ido_IIIC
Halobacteriaceae gen. sp.	Hbac_gen_HR	A56808	MED152	Tenac_BR
Halobacterium salinarum			Cvanobacteria	
(Mex)	Hbac_sal_Mex_HR	P33970	Anghasna an BCC 7130	ASB 1VIO
Halobacterium salinarum	Illess and Shards 11D	049214	Gloeobacter violaceus	Glo vio R
(Shark) Halobacterium SG1	Hbac_SG1_HR	Q48514 AAB26388	Estadoria	010_110_1
Halobacterium sp. arg-4	Hbac_bes1_HR	093741	Eubacteria	
Halobacterium sp. NRC-1	Hbac_NRC1_HR	AAG18795	Candidatus pelagibacter	
Halorubrum sodomense	Hrub_sod_HR	O93742	$FBAC20F09 \sim$	Cand_pel_BR
Natronomonas pharaonis			Proteobacterium	PR EBAC20E09 O
DSM 2160	Natr_phar_HR	YP_325981	eBACHOT4E07 Y-	
Sensory rhodopsins I			Proteobacterium	PR_eBACHOT4E07_Q
Haloarcula marismortui			EBAC31A08 γ-	
ATCC 43049	Harc_mar_SRI	YP_137680	Proteobacterium	EBAC31A08_GPR_L
Haloarcula vallismortis	Harc_val_SRI	Q48334	HOT2COT a-	DD HOTION O
Halobacterium SG1	Hbac_SG1_SRI	AAB26389	HOT75M4 v-	PR_HUI2CUI_Q
Haloruhrum sodomense	HDac_NKUI_SKI	AAG19914	Proteobacterium	BPR O
	1100_300_310	BAATJ203	Photobacterium	
Sensory modopsins II			sp. SKA34 (γ)	Photo_SKA34_BR
Haloarcula vallismortis	Harc_val_SRII	CAA84550	Vibrio angustum SI4 (γ)	Vib_ang_BR
Halobacterium saliharum	Hbac_sal_SKII	AAC44370	Environmental samples	
Halobacterium sp. NOS-1 Halobacterium sp. NRC-1	Hbac_AUSI_SKI	AAG19988	BAC17H8 Uncultured	
Natronomonas pharaonis	SRIL 1H2S	Z35086	bacterium	PR_BAC17H8_Q
University function		200000	MedeBAC35C06	
		100 100001	Uncultured bacterium	PR_MedeBAC35C06_L
Haloarcula marismortui	Harc_mar_OUNK	YP_135281	Uncultured bacterium	PR_A
Eukaryotes			Uncultured bacterium	PK_E2 PR_124
Fungi			Uncultured bacterium	PR 1.34
Botrytis cinerea	Bot cin R	AL115930	Uncultured bacterium	PR_L45
Chaetomium globosum	Chaet_glob_HP	EAQ83604	Uncultured bacterium	PR_M7
Cryptococcus neoformans	Cryp_neof_O1	AAW44466	Uncultured bacterium	PR_M8
Gibberella fujikuroi	Gibb_fuji_O	CAD97459	Uncultured bacterium	PR_M9
Gibberella zeae	Gibb_zeae_HP1	XP_383240	Uncultured bacterium	PR_Q20
Gibbereita zeae Leptosphaeria maculans	UDD_zeae_HP2	AP_387/30	Uncultured bacterium	PR 023
Neurospora crassa	N crassa $\Omega$	XP 959421	Uncultured bacterium	PRV
				-

1GUE 1868 1H25 1XIC

1MON 1MON 1P8F 1007 1QKC 1KGH 1850 10A2 1VGC 1E12 1GUE 1868 1H29 1XIC



Figure 1. (a) Structure of sensory rhodopsin II (SRII) with transducer HtrII (PDB: 1H2S). Atoms forming a retinal-binding pocket are shown in green. Retinal atoms are in red. (b) The full sequence of SRII with residues contributing atoms to the retinal-binding pocket wall shown in bold face. (c) Sequence of retinal-binding pocket.

binding pocket wall mapped onto the full sequence (shown in bold). We map residues forming the walls of the calculated retinalbinding pockets in all of the rhodopsin structures to the respective aligned sequences in the multiple sequence alignment of the type I rhodopsin sequences listed in Table 1 (Fig. 2). There are 18 positions (83, 86, 90, 93, 118, 119, 122, 138, 141, 142, 145, 182, 185, 186, 189, 208, 215 and 216 in BR residue numbering) in the MSA where residues always contribute to the retinal-binding pocket independently of the function, structure or the physical state of the particular rhodopsin. Nine of these positions (83, 86, 90, 122, 182, 185, 186, 189 and 216 in BR residue numbering) are invariant in the given set of the structures.

We build pocket sequences by concatenating the residues forming the wall of the calculated pocket as described by Binkowski et al. (18). Residues in the pocket sequences are often nonconsecutive. The pocket sequence of SRII as calculated for structure 1H2S is shown on Fig. 1(c). The pocket sequences for other structures are shown in Table 1. BR, HR, archaerhodopsin-1 and archaerhodopsin-2 have retinal-binding pockets of about 18-20 residues long with volumes between 450 Å<sup>3</sup> and 533 Å<sup>3</sup>. ASR has a 21-residues-long retinal-binding pocket, whereas SRII has

	76 12
1MOL_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIWIGTGLVGAL
1MOM_A	-NFIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIMIGTGLVGAL
1MOK_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIMIGTGLVGAL
1P8H_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGINIGTGLVGAL
100A_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIMIGTGLVGAL
1QKO_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIMIGTGLVGAL
1KGB_A	-NPIYWARYADWLFTTPLLLLDLALLVDADQGTILALVGADGIMIGTGLVGAL
1F50_A	-NPIYWARTADWLFTTPLLLLDLALLVDADQGTILALVGADGINIGTGLVGAL
1UAZ_A	-LDIYYARYADWLFTTPLLLLDLALLAKVDRVSIGTLVGVDALMIVTGLVGAL
1VGO_A	VLDIYYARYADWLFTTPLLLLDLALLAKVDRVTIGTLIGVDALMIVTGLIGAL
1E12_A	-VRSQWGRYLTWALSTPMILLALGLLADVDLGSLFTVIAADIGMCVTGLAAAM
1GU8_A	TVFAPRYIDWILTTPLIVYFLGLLAGLDSREFGIVITINTVVMLAGPAGAM
1GUE_A	TVFAPRYIDWILTTPLIVYFLGLLAGLDSREFGIVITLNTVVMLAGFAGAM
1H68_A	TVFAPRYIDWILTTPLIVYFLGLLAGLDSREFGIVITLNTVVMLAGFAGAM
1H2S_A	TVFAPRYIDWILTTPLIVYFLGLLAGLDSREFGIVITLNTVVMLAGFAGAM
1XIO_A	IAHYARYIDWMVTTPLLLLSLSWTAMQFIKKDWTLIGFLMSTQIVVITSGLIADL
	129 18
1MOL_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1MOM_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1MOK_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1P8H_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTPKVLRNVTVVL
100A_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1QKO_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1KGB_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1F50_A	-KVYSYRFVWWAISTAAMLYILYVLFFGFTSKAESMRPEVASTFKVLRNVTVVL
1UAZ_A	-HTPLARYTWNLFSTICHIVVLYFLATSLR-AAAKERGPEVASTFNTLTALVLVL
1VGO_A	-KTPLARYTWMLFBTIAFLFVLYYLLTSLRSAAAK-RSEBVRSTFNTLTALVAVL
1E12_A	TSALLFRWAFYAISCAFFVVVLSALVTDWAASASSAGTARIPDTLRVLTVVL
1GU8_A	PGIERYALFGMGAVAFLGLVYYLVGPMTESASQ-RSSGIKSLYVRLRNLTVIL
1GUE_A	PGIERYALFGMGAVAFLGLVYYLVGPMTESASQ-RSSGIKSLYVRLRNLTVIL
1H68_A	PGIERYALFGMGAVAFLGLVYYLVGPMTESASQ-RSSGIKSLYVRLRNLTVIL
1H2S_A	PGIERYALFGMGAVAFLGLVYYLVGPMTESASQ-RSSGIKSLYVRLRNLTVIL
1XIO_A	-ERDWVRYLWYICGVCAFLIILWGIWNPLRAKTRTQSSELANLYDKLVTYFTVL
	183 222
1MOL_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1MOM_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1MOK_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1P8H_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
100A_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1QKO_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1KGB_A	SAYPVVWLIGSEG-AGIVPLNIETLLFMVLDVSAKVGFGLI
1F50_A	SAYPVVWLIGSEG-AGIVPLNIQTLLFMVLDVSAKVGFGLI
1UAZ_A	TAYPILWIIGTEG-AGVVGLGIETLLFMVLDVTAKVGFGFI
IVGO_A	TAYPILWIVGTEG-AGVVGLGIETLAFMVLDVTAKVGFGFV
1E12_A	LGYPIVWAVGVEGLALVOSVGATSWAYSVLDVFAKYVFAFI
1GU8_A	AIYPFIWLLGPPGVALLTP-TVDVALIVYLDLVTKVGFOFI
IGUE_A	AIYPFIWLLGPPGVALLTP-TVDVALIVYLDLVTKVGFGFI
1H68_A	AIYPFIWLLGPPGVALLTP-TVDVALIVYLDLVTKVGFGFI
1H2S_A	AIYPPIWLLGPPGVALLTP-TVDVALIVYLDLVTKVGPGFI
IXIO A	IGYPIVWIIGPSGFGWINO-TIDTFLFCLLPFFSKVGFSFL

Figure 2. Mapping of residues forming a retinal-binding pocket to the type I rhodopsin sequences of known structures. Multiple sequence alignment contains sequences of bacteriorhodopsin (1M0L, 1M0M, 1MOK, 1P8H, 100A, 1QKO, 1KGB and 1F50), archaerhodopsin-1 (1UAZ), archaerhodopsin-2 (1VGO), halorhodopsin (1E12), sensory rhodopsin II (1GU8, 1GUE, 1H68, 1H2S), and anabaena sensory rhodopsin (1XIO). Residues forming the retinal-binding pocket in individual structure are shown in bold. The residues are numbered following the convention of bacteriorhodopsin.

the largest pocket, which is 24 to 26 residues long with the volume ranging between 630 Å<sup>3</sup> and 702 Å<sup>3</sup> depending on the specific Protein Data Bank structure. A slight variation in the pocket size and, as a consequence, of the amino acid composition of the pockets from different structures of the same protein is likely due to the uncertainty in the assignment of residues to the electronic density that is detected by highly sensitive geometry calculations used in this study.

To compare the residues forming a retinal-binding pocket across different type I rhodopsins, we created "superpocket" sequences from the full-length sequences of each structure by taking residues at positions that appear in the retinal-binding pocket in SRII, plus an additional position, Gly125 in BR, which doesn't occur in SRII but occurs in BR, HR and ASR retinal-binding pockets. The total length of a superpocket is 26 residues. We chose SRII pocket because SRII has the largest retinal-binding pocket and would provide more complete coverage of the retinal-interacting residues across the different classes of type I rhodopsins. Of the four SRII structures listed, we chose 1H2S structure because it represents a native functional form of SRII with a bound transducer.

We found that the sequence identity between superpocket sequences in the structural data set (Table 1) ranges between 39% and 61% for proteins with different functions. The respective full-



0.1 substitutions/site

Figure 3. Maximum likelihood relationship tree of the retinal-binding superpockets. Rhodopsins of different biological functions are automatically grouped into different branches: sensory rhodopsin II branch (SRII), proteorhodopsin branch (PR, groups A and B), sensory rhodopsin I branch (SRI), bacteriorhodopsin branch (BR), halorhodopsin branch (HR), and a few smaller branches containing fungal rhodopsins. Abbreviations are from Table 2.

length sequences are usually only 23–35% identical. Pockets obtained from the structures belonging to the same functional class of proton pumps, namely BR, archaeorhodopsin-1 (PDB: 1UAZ) and archaeorhodopsin-2 (PDB: 1VGO), are much more similar, with typical sequence identity of 85–94% and 55–86% for the superpocket and the full-length sequences, respectively. This demonstrates that residues interacting with retinal chromophore are more conserved than the full sequence between different rhodopsins (5).



Figure 4. Amino acid substitution rates in the retinal-binding pockets of bacteriorhodopsins. (a) Alignment of unique pocket sequences. The 20 pocket residue positions are from retinal-binding pocket in structure 1KGB. Residues that are identical to the residues in the first sequence are substituted with "•". (b) Maximum likelihood phylogeny tree of the full-length BR sequences. (c) A plot of amino acid substitution rate. The area of the circles is proportional to the substitution rate. There are three high-rate amino acid substitutions in bacteriorhodopsins:  $F \leftrightarrow M$ ,  $F \leftrightarrow W$ , and  $L \leftrightarrow M$ .

### Superpocket sequences of type I rhodopsins allow a better functional discrimination than the whole sequences

There is a significant divergence among the sequences of type I rhodopsins. Traditional phylogenetic tree is obtained from fulllength sequences and incorporates both selection pressure due to protein folding and selection pressure due to protein function. It reflects the overall evolutionary ancestral and sibling relationship between different rhodopsins, which does not always permit assignment of particular sequences as encoding transport or sensory proteins (19,20). Here, we use a different approach and build a relationship tree for the short sequences of the putative retinal-binding superpockets from the type I rhodopsins. This tree is different from the phylogenetic tree because it describes the relatedness of rhodopsins in terms of retinal-binding pockets, and hence is more informative for understanding how they are related in their functions.

Table 2 lists type I rhodopsin sequences used in this study. We collected 84 sequences from archaea, eukaryotes (fungi and algae) and different types of bacteria. Our data set also contains type I rhodopsin sequences obtained from environmental samples. Table 2 lists 14 such sequences, whereas the full list is available from Table 1 in the supplementary material. We have aligned all 98 full-length sequences listed in Table 2 using ClustalW multiple-sequence alignment algorithm with BLOSUM series matrices (21)

and manually adjusted the obtained MSA using Pfaat program (10). We then built a superpocket alignment by removing nonpocket positions from the full-length alignment. Superpockets from closely related proteins often have the same sequences. We have eliminated the duplicates and obtained a final set of 71 unique superpocket sequences. These 71 superpocket sequences were realigned using a customized matrix for retinal-binding pockets of type I rhodopsins obtained with a Bayesian Monte Carlo approach (7) as described in Methods section. The use of this matrix improves the characterization of the functional relationship among different proteins. We then built a relationship tree of the superpocket sequences (Fig. 3) using a maximum likelihood algorithm PAML (22). Several distinct branches of rhodopsins of different functions are observed on this tree: SRII branch, PR branch, SRI branch, BR branch, HR branch and a few smaller branches containing fungal rhodopsins.

SRII branch groups superpockets of archaeal SRII as well as rhodopsins from cryptomonad alga with experimentally demonstrated sensory function. These are sensory rhodopsins A and B (CSRA and CSRB, respectively) from *Chlamydomonas reinhardtii* (23), and opsins 1 and 2 from *Guillardia theta*, which were recently shown to possess a sensory function and likely form a dual rhodopsin-based photosensory system as described by Sineschekov *et al.* (24).

PR branch contains superpockets from PRs as well as rhodopsins from a few other organisms, which often exist in the extreme environments. These include xanthorhodopsin from Salinibacter ruber, a bacterium surviving at extremely high concentration of NaCl (25). A recent study by Balashov et al. (26) showed that xanthorhodopsin not only includes the chromophore retinal and pumps protons but also utilizes a carotenoid antenna, which enables the rhodopsin to absorb light across a greater absorbance spectrum than is possible with retinal alone. There is also a rhodopsin-like protein from Gloeobacter violaceus, a unique cyanobacterium that lacks thylakoid membranes (accession number BAC88139) (27). Gloeobacter rhodopsin expressed in Escherichia coli is an efficient light-driven proton transporter with a fast photocycle similar to that of the high-pH form of GPR (28). Finally, there is a pocket sequence with a putative proton pump function from Kineococcus radiotolerans, an aerobic bacterium thriving in high-level radioactive waste. Pyrocystis lunula pocket is also on this branch, indicating a possibility for a protonpumping activity.

PR retinal-binding superpockets are clearly separated into two groups: A and B. The smaller group A contains superpockets with the Met residue at the position equivalent to 105 of GPR, whereas the larger group B contains PRs with a wide array of residue types at this position, such as Ala, Glu, Leu, Gln and Val. GPR as well as deepwater BPR, which contain Leu and Gln residues at this position, respectively, are found in the same group B of the retinalbinding pockets. Close to this group is a retinal-binding pocket of rhodopsin from *Exiguobacterium (Exi\_sib\_R* on Fig. 3) isolates from ancient permafrost sediments (ZP\_00537900) (29), thriving at extremely low temperatures.

SRI branch contains classical archaeal SRIs including a *Haloar*cula marismortui rhodopsin (accession number YP\_135281) with unknown function, as well as two SRIs from *Salinibacter ruber* (25). BR branch contains archaeal BRs and a recently discovered BR from thermophilic bacterium *Rubrobacter xylanophilus* (30). Retinal-binding pockets of archaeal HRs are also grouped into a separate branch.



Figure 5. Amino acid substitution rates in the putative retinal-binding pockets of proteorhodopsins. (a) Alignment of putative pocket sequences. The 20 pocket residue positions are from retinal-binding pocket in BR structure 1KGB. Residues that are identical to the residues in the first sequence are substituted with "•". (b) Maximum likelihood phylogeny tree of the full-length PR sequences. (c) A plot of amino acid substitution rates. The area of the circles is proportional to the substitution rate. The exchange pairs with the fastest rates are mainly found at positions 93 and 137 in PR (following BR numbering). These are:  $A \leftrightarrow L$ ,  $A \leftrightarrow V$ ,  $A \leftrightarrow E$ ,  $E \leftrightarrow Q$ ,  $E \leftrightarrow L$ ,  $L \leftrightarrow Q$ ,  $L \leftrightarrow V$  and  $M \leftrightarrow T$ .

ASR (PDB: 1XIO) (31) is different from archaeal sensory rhodopsins in two aspects. First, it interacts with a cytosolic transducer, whereas archaeal SRI and SRII interact with other integral membrane proteins. Second, it has an inverse mode of retinal isomerization (as compared with BR) with a primarily all-trans configuration of retinal in the dark-adapted state and a primarily 13-cis configuration in the light-adapted state (32). ASR superpocket has the highest sequence identity (65%) and clusters together with a retinal-binding superpocket from algae *Cryptomonas sp* S2, chlamiopsin-5 from *Chlamydomonas reinhardtii*, and an opsin from fungi *Botritis cinerea*, which tentatively suggests a similarity in signal transduction mechanism in these proteins, although more sequence information would be required to fully establish this.



**Figure 6.** Amino acid substitution rates in the retinal-binding pockets of sensory rhodopsins II. (a) Alignment of pocket sequences. The 25 pocket residue positions are from retinal-binding pocket in structure 1H2S. Residues that are identical to the residues in the first sequence are substituted with "•". (b) Maximum likelihood phylogeny tree of the full-length SRII sequences. (c) A plot of amino acid substitution rates. The area of the circles is proportional to the substitution rate. The fastest-exchanging amino acid pairs are  $I \leftrightarrow V$ ,  $M \leftrightarrow T$  and  $G \leftrightarrow S$ .

Superockets from fungal rhodopsins used in this study (excluding Bot\_cin rhodopsin) did not cluster with the pockets from other species with known function. The putative retinal-binding superpockets from *Neurospora crassa* NOP-1 and *Leptosphaeria maculans* rhodopsins grouped together because they differ only by one residue. However, it has been experimentally demonstrated that these two rhodopsins have different functions such as proton pump and sensory rhodopsin, respectively (33–35). It seems that pocket residue positions obtained from archaeal rhodopsins may not be directly transferred to fungal rhodopsins, which may have a different arrangement of the residues around the bound retinal molecule.

## Substitution rates of amino acid residues in the retinal-binding pockets

We calculated substitution rates for the amino acid residues of the retinal-binding pockets to examine their function-specific evolutionary patterns. The substitution rates are calculated using a Bayesian Markov Chain Monte Carlo method developed by Tseng & Liang (7). This method was developed with the assumption that different regions of the protein experience different evolutionary pressure and have different substitution rates for amino acid residues. For example, Tseng & Liang showed that the residue substitution rates are significantly different for the buried and exposed residues, as well as for residues forming specific binding surfaces on protein structures (7).



**Figure 7.** Amino acid substitution rates in the putative retinal-binding pockets of sensory rhodopsins I. (a) Alignment of pocket sequences. The 25 pocket residue positions are from retinal-binding pocket in structure 1H2S. Residues that are identical to the residues in the first sequence are substituted with "•". (b) Maximum likelihood phylogeny tree of the full-length SRI sequences. (c) A plot of amino acid substitution rates. The area of the circles is proportional to the substitution rate. The high-rate exchange pairs include  $A \leftrightarrow V$ ,  $I \leftrightarrow V$ ,  $A \leftrightarrow L$ ,  $A \leftrightarrow E$ ,  $A \leftrightarrow G$  and  $A \leftrightarrow I$ .

In this study, we use function-specific sets of rhodopsin sequences based on the clustering of the superpockets as presented on Fig. 3. We use residue positions from the structure-defined retinal-binding pockets for calculation of substitution rates in BRs and SRIIs, and putative retinal-binding pockets for calculation of substitution rates in SRIs and PRs. The analysis of HR retinal-binding pockets is not feasible because of the extremely high conservation of the retinal-binding pocket: there are only three unique pocket sequences available when amino acid residue positions from 1E12 retinal-binding pocket are used.

Figures 4-7 present multiple sequence alignments of the pocket sequences where only pocket residue positions specific for a given rhodopsin function, as obtained by the geometric calculations on the respective PDB structure, were used. This approach produces shorter pocket sequences in comparison with the superpocket sequences that were used to generate a relationship tree on Fig. 3. In addition, shorter pocket sequences are often identical. This is why the number of sequences on Figs. 4-7 is smaller than can be found on Fig. 3 for a specific function. For example, BR branch contains eight superpockets, whereas only five unique pocket sequences are available when the number of included positions decreased from 26 to 20. Each figure also contains the plots where the area of the filled circles is proportional to the substitution rate. Only a handful of high-rate substitutions marked by prominently large filled circles is observed in all four plots. These are  $M \leftrightarrow F$ substitution in BR (Fig. 4),  $A \leftrightarrow E/G$  substitutions in PR (Fig. 5),

 $I \leftrightarrow V$  substitution in SRII (Fig. 6) and  $A \leftrightarrow V$  substitutions in SRI (Fig. 7).

In BR, substitutions occur in 4 of 20 pocket amino acid residue positions. Figure 4 shows that three high-rate amino acid substitutions in BR are  $F \leftrightarrow M$ ,  $F \leftrightarrow W$  and  $L \leftrightarrow M$ . Substitution pairs  $F \leftrightarrow M$  and  $L \leftrightarrow M$  occur at the same position 145, pair  $F \leftrightarrow W$  is found in two different positions (137 and 138).  $F \leftrightarrow W$  and  $L \leftrightarrow M$  are widely accepted in membrane proteins, whereas substitution  $F \leftrightarrow M$  is rarely observed (36). A recent crystallographic study by Enami *et al.* (37) showed how  $F \leftrightarrow M$  exchange affects the thermodynamic properties of retinal in BR. Namely, the 13-cis isomer is better stabilized in methionine-containing pockets of BR and AR-1 because of the protruding side-chain of Met145 that contacts the C5 methyl of retinal and pushes the ionone ring downwards. On the other hand, the all-trans isomer is batter stabilized in AR-2 because the benzene ring of Phe145 is far from the C5 methyl of retinal (37).

We used a BR pocket as a template for constructing putative retinal-binding pockets in PRs because it has been experimentally shown that some PRs function as light-driven proton pumps (4,38). The substitution patterns in PR retinal-binding pockets are different from those observed in BR pockets (Fig. 5). For example, position 93 (following BR numbering) is conserved in BRs, but is highly variable in PRs; position 125 is conserved in PR, whereas the same position is variable in BR. The exchange pairs with the fastest rates are mainly found at positions 93 and 137 in PR (following BR numbering). These are:  $A \leftrightarrow L$ ,  $A \leftrightarrow V$ ,  $A \leftrightarrow E$ ,  $E \leftrightarrow Q$ ,  $E \leftrightarrow L$ ,  $L \leftrightarrow Q$ ,  $L \leftrightarrow V$  and  $M \leftrightarrow T$ . Another fast-exchanging pair  $A \leftrightarrow G$  occurs at positions 138, 142 and 145.

In the alignment of PR pocket sequences (Fig. 5), position 93 (following BR numbering) corresponds to position 105 in GPR and 106 in BPR where Leu and Gln residues are found, respectively. Wang et al. (11) demonstrated that the mutation L105Q in GPR vs Q106L in BPR nearly completely interconverts the absorption spectra of the two pigments and affects the photocycle of the mutated proteins. It has also been suggested that the BPR may be a light sensor rather than a proton pump because of its longer photocycle as was experimentally determined by Wang et al. (11) and the fact that the sites evolving under a positive Darwinian selection are far from the retinal-binding pocket (the opposite effect was found for GPR) and dispersed over the modeled structure of BPR, implying that the exterior surface of BPR was the main subject of the positive Darwinian selection (39). On the basis of our data, we propose that similarly to the fast-exchanging position 145 in BR, the fast rate of amino acid exchange at position 105/106 in PRs may be an adaptation of the same function to modulate a photocycle in different environments.

PR pocket sequences that contain residues Ala, Glu, Gln, Leu and Val at position 93 (Fig. 5) cluster separately from the PR pocket sequences that contain Met at this position (Fig. 3). It should be noted that five Met-contaning superpockets (26 amino acid residues) from the relationship tree on Fig. 3 converged to one sequence when only BR pocket positions (20 residues) were selected for the calculation of the substitution rates. Interestingly, all (excluding  $M \leftrightarrow T$ ) exchange pairs with Met residue such as  $A \leftrightarrow M$ ,  $C \leftrightarrow M$ ,  $M \leftrightarrow Q$ ,  $E \leftrightarrow M$  and  $L \leftrightarrow M$  have slow substitution rates. This may indicate that Met93-containing sequences have a different evolutionary history and may represent PRs that have a different function. An additional argument comes from the positioning of pocket sequences from two new rhodopsins from *Psychroflexus torques*, a sea-ice-derived psychrofilic bacteria (40) (Psy\_tor\_Bra and Psy\_tor\_BRb on Fig. 3 with accession numbers ZP\_01253360 and ZP\_01255583, respectively). Retinal-binding pockets of *Psychroflexus torques* belong to two different branches: Psy\_tor\_BRa contains Met at position 93 and groups exclusively with other Met-containing PRs in group A. Psy\_tor BRb contains Gln at position 93 and groups with the remaining PRs in group B (Fig. 3). There are two possible scenarios for Psychroflexus torques rhodopsins. First, two rhodopsins represent two different functions, e.g. ion pumping and signal transduction. Second, this is a tworhodopsin system similar to those found in Chlamydomonas reinhardtii (CSRA and CSRB) (23), Guillardia theta (24) or in Salinibacter ruber (there are two SRI rhodopsins in this species) (25). However, the pocket sequences from a two-rhodopsin system are usually closely related paralogues that cluster together. Here, the clustering of Psychroflexus torques pocket sequences on two rather distant branches supports a hypothesis about a possible different function for the PRs with Met at position 93.

We used a set of pocket sequences that cluster together with SRII pocket from structure 1H2S to estimate substitution rates in retinal-binding pockets of SRIIs. Figure 6 shows that SRII sequences have a unique set of substitution patterns as well. The fastest-exchanging amino acid pairs are  $I \leftrightarrow V$ ,  $M \leftrightarrow T$  and  $G \leftrightarrow S$ . Again, the positions, namely the locations where these pairs occur, may indicate special adaptations of the retinal-binding pocket. For example,  $G \leftrightarrow S$  pair occurs only at position 130 (following SRII-1H2S numbering) and is found only between two rhodopsins from *Guillardia theta*. This could be a particular position that modulates the light response in this two-rhodopsin system. Another fast-exchanging pair in pockets of *Guillardia theta* is  $I \leftrightarrow M$ . Unfortunately, position 109 also contributes to the exchange rate of these amino acid residues and it is difficult to separate the contribution from these positions.

There are no structures of SRIs, so we used the pocket positions from SRII to analyze the evolutionary patterns in SRI putative pockets. The set of available SRI sequences shows fewer substitution positions in the pocket when compared to SRII and has a different set of high-rate exchange pairs, which includes  $A \leftrightarrow V$ ,  $I \leftrightarrow V$ ,  $A \leftrightarrow L$ ,  $A \leftrightarrow E$ ,  $A \leftrightarrow G$  and  $A \leftrightarrow I$ . The largest number of the fast-exchange pairs comes from the positions 193, 183 and 111 following SRII (PDB: 1H2S) residue numbering.

The results from substitution rate calculations demonstrate that although the retinal binding pockets are well conserved within every functional class of type I rhodopsins, there are specific sets of high-rate amino acid substitutions that do not change the function of the particular class of proteins, but rather provide an adaptation to specific environmental conditions or modulate the photocycle of the given rhodopsin.

### SUMMARY AND CONCLUSIONS

Our findings indicate that every functional class of type I rhodopsins has a specific allowed set of nonfunctional substitutions of amino acid residues in the retinal-binding pocket. These mutations occur in the course of evolution but they do not fundamentally change the protein function. Rather, they provide a specific adaptation of the particular class of rhodopsins to different environments. We also demonstrate that the short concatenated sequences of amino acid residues forming a wall of the retinal-binding pocket are often sufficient to discriminate a phototactic vs transporting function of the bacterial or unicellular algal rhodopsins. Our study also generates hypotheses that should be further verified by experimental works and additional computational analysis when more sequence and structure data are available. For example, the Met93-containing PRs may have a distinct evolutionary history and different function from PRs that contain other amino acid residues at this position. By this hypothesis, the two PRs from *Psychroflexus torques* (ZP\_01253360 and ZP\_01255583) may play different biochemical roles. The patterns of close grouping of ASR, algae *Cryptomonas sp. S2* and chlamiopsin-5 from *Chlamydomonas reinhardtii* may suggest that these rhodopsins bear similarity in their mechanisms of signal transduction.

Previous studies showed that experimentally characterized bacterial and eukaryotic rhodopsins may not follow the same grouping as that of the phylogenetic tree (19,20). Our results demonstrate that the evolutionary patterns of the retinal-binding pockets reflect the functional specificity of the rhodopsins better. A traditional phylogenetic tree is obtained from full-length sequences and incorporates both selection pressure due to protein folding and selection pressure due to protein function. Our approach takes advantage of structural information about the retinal-binding pocket as obtained from geometric computation, a detailed analysis of residue substitution rates within the binding pocket and is the first that effectively isolates the effect of selection pressure due to the biological function from the selection pressure due to maintaining protein stability and folding accessibility.

#### SUPPLEMENTAL MATERIALS

Table 1 contains a list of PR sequences obtained from the uncultured bacteria. It can be found at DOI: 10.1562/2006-02-14-RA-802.s1.

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